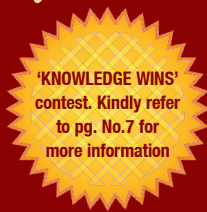


ISO 27001 Certified



NSDL - Trusted by more than One Crore account holders



Investor Depository Meets (IDMs) organised by NSDL at Kalol & Nadiad in Gujarat on June 7 & 8, 2013 respectively.

Amendments to Bye Laws and Business Rules

NSDL has amended its Bye Laws & Business Rules to simplify the process of dematerialisation of Government Securities from Subsidiary General Ledger (SGL) Account to dematerialised form and vice versa. Thus, Participants need not forward the physical documents received from the client to NSDL for transfer of holdings from an SGL entity to NSDL or vice versa. Accordingly, the Bye Laws & Business Rules of NSDL has been amended, added and renumbered. Further details about this circular (Ref: Circular No. NSDL/POLICY/2013/0083 dated June 26, 2013) are posted on NSDL website www.nsdl.co.in.

Name Change of Participant

Old Name	New Name	DP ID
IKM Investors (P) Limited	Farsight Securities Limited	IN301758

Subscription to *SPEED-e*

NSDL's common internet facility called *SPEED-e* facilitates Users (Beneficial Owners and Clearing Members) to submit delivery instructions on the internet. During July 2013, Participant viz., Coimbatore Capital Limited (DP ID IN300175) has subscribed to the *SPEED-e* facility. Clients of this Participant can avail *SPEED-e* facility and submit instructions electronically. This takes the total number of Participants who have subscribed to *SPEED-e* to 125. For more information on *SPEED-e*, you can logon to: <https://www.nsdl.co.in/speed-e.php>.

Training Programmes for Participants:

➤ Quality Improvement Training Programme for Participants

In order to make Participants aware about the various procedures and compliances of depository operations and facilitate Participants to improve efficiency & be compliance savvy, NSDL conducted a Quality Improvement Training Programme (QITP) in June 2013 at Mumbai which focused on latest guidelines/circulars issued by SEBI/NSDL with respect to depository operations. QITP was attended by 54 officials of Participant.

Inside :

➤ Performance Summary

Investor Accounts	12,797,777
Participants	282
Participant Service Centres	14,445
Demat Custody	₹ 77,48,775 Cr.
Settlement	₹ 1,09,683 Cr.
Companies available for demat	11,212
Instruments	
❖ Debentures/Bonds	9,112
❖ Securitised Instruments	943
❖ Commercial Papers	1,289
❖ Certificates of Deposit	1,228

➤ Corporate Action Statistics

➤ Companies Name Change

➤ List of New Participant Centres

➤ Securities Admitted For Dematerialisation

➤ Calendar of Events

➤ Topic of Interest

NSDL UPDATE

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➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted three such training programmes in June 2013 at Mumbai and New Delhi which were attended by 70 officials of various Participants.

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In June 2013, NSDL conducted six such training programmes at various locations viz., Ahmedabad, Chennai, Kolkata, Mumbai, New Delhi and Rajkot which were attended by 182 officials of Participants.

➤ **KYC Training Programme for Participants**

In June 2013, NSDL conducted seven 'KYC requirements for Account Opening' training programmes at various locations viz., Ahmedabad, Durgapur, Kolkata, Mumbai, New Delhi and Pune which were attended by 122 officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

➤ **Three day DP Training Programme for Participants**

NSDL conducts three day training programme on 'NSDL Depository Operations' for Participants which focuses on in-depth day-to-day operations of depository KYC requirements viz., various operations & system modules such as demat/remat, settlement, pledge, mutual funds, transmission, Compliance and inspection requirements of NSDL and various e-Services facilities of NSDL viz., IDeAS, **SPEED-e** etc. In June 2013, NSDL conducted one such training programme in Mumbai which was attended by 10 officials of Participants.

➤ **SPEED-e Training Programme for Participants**

NSDL's common internet facility called **SPEED-e** facilitates Users (Beneficial Owners and Clearing Members) to submit delivery instructions on the internet. **SPEED-e** facilitates Participants to eliminate paper [Delivery Instruction Slips (DIS)] resulting in reduction in cost of storage and processing of DIS and the risk involved in verifying specimen signatures of account holders at the time of processing DIS. To facilitate Participants to enhance their knowledge and get more familiar with the features, process, documentation and latest developments on **SPEED-e** facility, NSDL conducted three such training programmes on **SPEED-e** facility in June 2013 at Ahmedabad, Kolkata and New Delhi which were attended by 99 officials of Participants.

Investor Education initiatives undertaken by NSDL

➤ **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During June 2013, NSDL organised 16 IDMs at Hubli in Karnataka, Kalol, Nadiad, Valsad & Vapi in Gujarat, Malappuram, Cannanore & Calicut in Kerala, Barasat & Barrackpore in West Bengal, Kurukshetra & Panchkula in Haryana, Ongole, Chirala & Nellore in Andhra Pradesh and in Chandigarh which were attended by 865 investors.

➤ **Participation in events conducted by Institutions:** In June 2013, NSDL sponsored a programme titled 'Skoch Summit 2013' which was organised by Skoch Consultancy Services Pvt. Ltd. (Skoch) at Mumbai. Hon'ble Finance Minister, Mr. P Chidambaram, Hon'ble Chief Minister of Maharashtra, Mr. Prithviraj Chavan, Hon'ble Deputy Chairman, Planning Commission, Mr. Montek Singh Ahluwalia and other such eminent delegates addressed the officials on various subject matters attending the summit. This programme was attended by around 400 officials.

➤ **Stock Clinic Programme with Participant:** To spread awareness about Depository related services & the new features introduced in NSDL Depository system, NSDL conducted Stock Clinic Programme with Bank of India to educate employees and investors of Bank of India regarding benefits of dematerialization. In June 2013, NSDL conducted nine such training programmes in Mumbai & Indore which were attended by 347 persons.

➤ **Investor Awareness Programme with Geojit BNP Paribas Financial Services Limited:** In order to reach out to investors that are spread across the country and to apprise them about the facilities available in NSDL depository system and the awareness on stock markets, NSDL conducted an Investor Awareness Programme with Geojit BNP Paribas Financial Services Limited in June 2013 at Bijapur which was attended by 150 investors.

➤ **Training Programme organized by Institutions:** In June 2013, NSDL conducted training programmes for 34 newly recruited SEBI officials and for 28 Indian Revenue Service (IRS) officers at the request of National Institute of Securities Markets (NISM). NSDL also conducted a training programme for teaching faculties from M.L. Dahanukar College of Commerce, Mumbai regarding recent developments and the various features available in the NSDL Depository system which was attended by eight faculties.

NSDL UPDATE

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Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Jun-11	117.19
Jun-12	121.94
Jun-13	127.97

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Jun-11	295	13,196	1,416
Jun-12	282	14,098	1,553
Jun-13	282	14,445	1,499

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jun-11	48,619	65,57,900
Jun-12	59,545	71,46,816
Jun-13	71,076	77,48,775

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jun-11	547	98,236
Jun-12	488	83,375
Jun-13	524	1,09,683

(Single Side)

Companies

EQUITY

Month - Year	No. of Companies Operational
Jun-11	9,047
Jun-12	9,984
Jun-13	11,212

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jun-11	589	6,968	8,70,784
Jun-12	623	7,949	10,71,125
Jun-13	770	9,112	13,41,227

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jun-11	560	859	38,386
Jun-12	502	752	34,594
Jun-13	624	943	57,961

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jun-11	218	1,400	1,13,926
Jun-12	220	1,499	1,26,845
Jun-13	221	1,289	1,37,978

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jun-11	43	1,331	4,45,793
Jun-12	46	1,460	4,54,382
Jun-13	42	1,228	3,84,342

NSDL UPDATE

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Corporate Action Statistics

Sr. No.	Description	During June 2013		For F.Y. 2013 - 14 (Upto June 2013)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	493,277	5,218,020,813	827,661	23,757,380,959
2	Preference Shares	205	40,415,783	7,056	1,916,289,137
3	Bonds	1,836	41,102,631	9,557	75,864,658
4	Commercial Papers	640	1,349,694	1,785	4,066,084
5	Certificates of Deposit	998	11,996,500	1,442	17,361,511
6	Securitized Instruments	120	3,562,147	279	16,210,358
7	Mutual Fund Units	19,025	84,992,995	73,394	723,859,825
8	Warrants	0	0	13	27,340,000
9	Commodities	241	5,771	599	825,156
10	Mutual Fund Units (Trase)	10,931	121,619,820	38,736	345,126,815
	Total	527,273	5,523,066,154	960,522	26,884,324,502

List of Companies that have changed Names

(During June 2013)

Sr. No.	Old Name	New Name
1	Sahyadri Agro and Dairy Limited	Sahyadri Cow Farms Limited
2	Gujarat Tele Link Private Limited	GTPL Hathway Private Limited
3	Tyco Electronics Corporation India Private Limited	TE Connectivity India Private Limited
4	L & T Arun Excello IT Sez Private Limited	L&T Chennai Projects Private Limited
5	Hillock Agro Foods India Limited	Real Realty Management Company Limited
6	Religare Technologies Limited	HealthFore Technologies Limited
7	Techprocess Solutions Limited	TechProcess Payment Services Limited
8	Tiger Logistics (India) Private Limited	Tiger Logistics (India) Limited
9	Pfizer Animal Health India Limited	Zoetis India Limited
10	Pfizer Pharmaceutical India Private Limited	Zoetis Pharmaceutical Research Private Limited
11	Thyrocare Laboratories Limited	Eins Edutech Limited
12	Simran Wind Project Private Limited	Simran Wind Project Limited
13	Laxminarayan Investment Limited	ABNL Investment Limited
14	Transcon Properties Private Limited	Transcon-Sheth Creators Private Limited

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New Participant Centres

ANDHRA PRADESH
GOLLAPUDI HDFC Bank Limited Ph.: 0866-2410198
ASSAM
DOOM DOOMA HDFC Bank Limited Old A.T. Road, Ph.: 03759-241126
BIHAR
KISHANGANJ HDFC Bank Limited Amarlekha Complex Hospital Road Ph.: 06456-223244
SASARAM Sharekhan Limited 411, Khothatoli Town/Vill -Sasaram Anchal Sasaram, Rohtas Ph.: 06184-222612 / 09472514440
CHHATTISGARH
BAIKUNTHPUR IDBI Bank Limited Near Chhattish Gramin Bank, Korla Ph.: 07805893939
BEMETARA IDBI Bank Limited Old Bus Stand Chowk Ph.: 08109034138
BILASPUR IDBI Bank Limited Nutan Chowk, Sarkonda Ph.: 09752701888
KANKER Axis Bank Limited Near Govt. Komaldev Hospital Ph.: 07868-241404-06
MAHASAMUND IDBI Bank Limited NH-217, Bagbahar Road Ph.: 09039057699
RAIPUR IDBI Bank Limited Dr Khub Chand Baghel Transport Nagar Ph.: 09630030302
Sharekhan Limited 1st Floor, Ramsagar Para, Ph.: 0771-4033229 / 4049451 / 2292632 / 09425240678 / 09827905508
DELHI
NEW DELHI Sharekhan Limited Caxton House Jhandewalan Extn., Ph.: 011-43729000/09811005065 Sector 8, Rohini, Ph.: 011-45626023-32 / 09899346650 Main Jhanda Chowk, Ph.: 011-27784730/09313425913 SRM Securities Private Limited Karol Bagh, Ph.: 011-47444000/ 47444018
GUJARAT
AHMEDABAD Sharekhan Limited Nr. Talav Chandlodiya Ph.: 07698963649/08866491261 Nehru Nagar Ambawadi Ph.: 09898174476 Nr. Sukh Sagar Bunglow Nikol, Ph.: 09624480191 Phase -2, GIDC Vatva Ph.: 09824430632 / 09898001821

AHMEDNAGAR The Saraswat Co-Operative Bank Limited Savedi Road, Ph.: 0241-2341120/21
AMALSAD HDFC Bank Limited Station Road
AURANGABAD The Saraswat Co-Operative Bank Limited Plot No. 25, T. V. Centre Hudco Ph.: 0240-2243028/29
GADHADA Axis Bank Limited "Aditya Complex", Botad Road Ph.: 02847-252401/ 02
RAJKOT Sharekhan Limited Off University Raod, Ph.: 09426128634
SURAT Sharekhan Limited Shantinath Nagar, Opp. SBI Bardoli Ph.: 02622-220717/07405665869 Varachha Road Ph.: 0261-6453499/08866626412
VADODARA Sharekhan Limited Vishawamitri, Ph.: 09601707830
WANKANER Axis Bank Limited Pratap Road, Ph.: 02828-220070
HARYANA
GURGAON HDFC Bank Limited DLF Cyber City, Ph-3 Ph.: 0124-4041672
HIMACHAL PRADESH
SHIMLA HDFC Bank Limited Sector-5, New Shimla, Ph.: 0177-2673222/999
JAMMU & KASHMIR
AKHNOOR HDFC Bank Limited Lahori Mega Mall, Ph.: 01924-252435
JAMMU HDFC Bank Limited Main Bazar, R. S. Pora Ph.: 01923-250481
SRINAGAR HDFC Bank Limited Alamgiri Bazar, Zadibal Ph.: 0194-2420091 / 09018149287
UDHAMPUR HDFC Bank Limited Town Hall road, Ph.: 01992-273033
JHARKHAND
RANCHI HDFC Bank Limited Opp Road No. 4, Ashok Nagar Ph.: 0651-2243445
SAHIBGANJ HDFC Bank Limited Shashibhushan Rai Road Ph.: 06436-222014
KERALA
CHANGANACHERRY Axis Bank Limited M.C. Road, Kavalam Nagar Ph.: 0481-2400337-339

KOTTAYAM Sharekhan Limited Pala Road, Manarcadu Ph.: 0482-2233788 / 09605804920
MAHARASHTRA
MUMBAI Sharekhan Limited 90 Feet Road, Ghatkopar (E) Ph.: 09768112211 Above ICICI Bank, Bhandup (W) Ph.: 09619238087 Off Veera Desai Road Andheri (W) Ph.: 09821531166 LBS Marg Bhandup (W) Ph.: 09820489820 Rajwadkar Street Colaba Ph.: 09594277784 Sonawala Road Near Udyog Bhavan Goregaon (E), Ph.: 09969130447 New Link Road, Andheri (W) Ph.: 022-26230845 / 09821143981
NAVI MUMBAI The Saraswat Co-Operative Bank Limited CBD Belapur Ph.: 022-27560083/84 Sector -7, Kamothe Ph.: 022-27431571/72
PANVEL HDFC Bank Limited Sector-13, Khanda Colony, New Panvel, Ph.: 022-7469942
SOLAPUR The Saraswat Co-Operative Bank Limited Budhwar Peth, Near Nila Nagar Ph.: 0217-2727225/26
THANE The Saraswat Co-Operative Bank Limited Near BSNL Telephone Exchange, Dhamankar Naka Bhiwandi Ph.: 02522-230060/61 The Saraswat Co-Operative Bank Limited Nr S. T. Stand, Khopat Naka LBS Road Ph.: 022-25372175
ORISSA
BOUDH Axis Bank Limited NAC Chhak, Near LIC Office Boudhgarh, Dist. Boudh Ph.: 06841-223311
JEYPORE Sharekhan Limited Bagh Bhandar Street Ph.: 09439285500
KORAPUT Axis Bank Limited Near Women's College Chhak Ph.: 06852-252204/205
PUNJAB
APRA HDFC Bank Limited Phillaur Road Jalandhar Ph.: 01826-250278 IndusInd Bank Limited Banga Road, Teh:Phillaur Ph.: 01826-250533/251533
TARN TARAN HDFC Bank Limited Amritsar Road, Ph.: 01851-272083

RAJASTHAN
CHITTORGARH HDFC Bank Limited Killa Road, Near Bus stand Begun Ph.: 09309319619
SAGWARA IndusInd Bank Limited Punrarw Colony, Dungarpur Road Ph.: 02966-255332-33
TAMIL NADU
TIRUCHENGODE HDFC Bank Limited Namakkal, Ph.: 04288-252639
VELLORE Sharekhan Limited Kanni Koil Street Kagithapattadai Ph.: 09345083829
UTTAR PRADESH
AGRA Sharekhan Limited E-130 Kamla Nagar Dayal Bagh Ph.: 0562-4040399/09997372524
FATEHPUR SIKRI IndusInd Bank Limited Post Fatehpur Sikri, Ph.: 08795839004
GAJRAULA Axis Bank Limited Budh Bazar, Ph.: 05924-254052
GHAZIABAD Sharekhan Limited Near Mahalaxmi Plaza Ph.: 09990605760
LUCKNOW Sharekhan Limited Saadat Ganj, Ph.: 09450393510/08765924582
SHAMSHABAD IndusInd Bank Limited Village : Chitaura, PO : Chitaura, Block
WEST BENGAL
BOLPUR HDFC Bank Limited Shriniketan Road Bolpur Ph.: 03463-252425
DIAMOND HARBOUR HDFC Bank Limited City Bazar Water Tank Road Ph.: 03174-258950
KOLKATA Axis Bank Limited Jessore Road, Shyamnagar, Dist.North 24 Parganas Ph.: 033-25790026/029/051/049 Paharpur Road Metiabruz Ph.: 033-24890062/65
MEDINIPUR HDFC Bank Limited Samriddhi Apartment, Khudiram Bose Road, Ph.: 03222-261315
MURSHIDABAD Sharekhan Limited Rambagh Purbapara Bhagwangola Ph.: 08641077625
NABADWIP HDFC Bank Limited Nabadwip, Nadia, Ph.: 03472-239157
PURULIYA HDFC Bank Limited Ranchi Road, Ph.: 03252-224525

Securities admitted for Dematerialisation during June 2013

COMPANY	ISIN	COMPANY	ISIN
Advanced Compuflow Private Limited	INE136P01012	Olive Lifesciences Private Limited	INE120P01016
Akshay Sthapatya Private Limited	INE140P01014	Pet Fibres Limited	INE083P01016
Alacrity Securities Limited	INE030P01017	Prakalp Properties Private Limited	INE023P01012
Aryavrat Tollways Private Limited	INE141P01012	PSL Corrosion Control Services Limited	INE068P01017
Aster Infratek Private Limited	INE121P01014	Rohan & Rajdeep Infrastructure Pvt Ltd	INE081P01010
Beyond Evolution Tech Solutions Private Limited	INE886O01015	Rohan Rajdeep Rajasthan Infra Projects Ltd	INE079P01014
Bhilwara-Rajsamand Tollway Private Limited	INE026P01015	Rohan Rajdeep Toll Roads Limited	INE060P01014
CBS Steel Pvt Ltd	INE027P01013	Runwal Township Private Limited	INE099P01012
Chhatisgarh Investments Limited	INE078P01016	Sai Maatarini Tollways Limited	INE137P01010
Crystal Crop Protection Private Limited	INE101P01016	Savannah Hotels Private Limited	INE071P01011
Devyani Food Industries Limited	INE022P01014	Shah Group Builders Limited	INE082P01018
DSC Engineering Private Limited	INE039P01018	Shankheshwar Buildwell Private Limited	INE025P01017
Eastman Auto & Power Limited	INE139P01016	Shankheshwar Infracon Private Limited	INE127P01011
Evershine Buildcon Private Limited	INE036P01014	Sharnam Healthcare Private Limited	INE028P01011
Examen Infra & Entertainment Limited	INE073P01017	Simm Samm Hotels Private Limited	INE126P01013
Godavari Pulp And Paper Mills Private Limited	INE070P01013	Smart Value Homes Limited	INE069P01015
Golden Seam Textiles Private Limited	INE138P01018	Spark Environmental Technology Limited	INE034P01019
Hamon Shriram Cottrell Private Limited	INE057P01010	Star Ferro And Cement Limited	INE935O01010
Indore Treasure Town Private Limited	INE149P01015	Sterling Software Private Limited	INE155P01012
ING Financial Services Private Limited	INE125P01015	Sumit Realty Private Limited	INE084P01014
Inox Wind Limited	INE066P01011	Suraj Inn Private Limited	INE124P01018
International Infratech Private Limited	INE059P01016	Swift India Domestic Services Private Limited	INE096P01018
Kamachi Sponge & Power Corporation Limited	INE181P01018	Teritex Knitting Industries Private Limited	INE152P01019
Karma Stock Trade Limited	INE020P01018	Thacker And Company Limited	INE077P01018
Kukke Hydro Projects Private Limited	INE050P01015	Transstroy Karnataka-Kerala-Kannur Tollways Private Limited	INE053P01019
Lafarge Aggregates & Concrete India Private Limited	INE067P01019	Treasure World Developers Private Limited	INE122P01012
Landmark Hi Tech Development Private Limited	INE098P01014	Trine Entertainment Limited	INE033P01011
LNJ Power Ventures Limited	INE061P01012	Ushakiran Builders Private Limited	INE024P01010
Mantri Cornerstone Holding Private Limited	INE123P01010	VCU Data Management Limited	INE962O01014
MEP Chennai Bypass Toll Road Pvt Ltd	INE085P01011	Virgo Valves & Controls Limited	INE963O01012
Moms Supplies Private Limited	INE031P01015	Voltaire Leasing & Finance Limited	INE763D01019
Moshe's Fine Foods Private Limited	INE156P01010	Welspun Solar Kannada Private Limited	INE142P01010
Nagarjuna Hydro Energy Private Limited	INE058P01018	Welspun Solar Tech Private Limited	INE143P01018
Nitco Paints Private Limited	INE080P01012		

NSDL Calendar of Events

Investor Depository Meets

August 2, 2013	Sri Ganganagar, Rajasthan	August 24, 2013	Kharagpur New Settlement, West Bengal
August 3, 2013	Bikaner, Rajasthan	August 24, 2013	Amritsar Punjab
August 22, 2013	Contai, West Bengal	August 30, 2013	Erode, Tamil Nadu
August 22, 2013	Jalandhar, Punjab	August 31, 2013	Salem, Tamil Nadu
August 23, 2013	Tamluk, West Bengal		
August 23, 2013	Hoshiarpur, Punjab		

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NSDL Calendar of Events

Compliance Requirement

Sr. No.	Particulars	Reference	Due date	Mode of sending
1.	Networth Certificate and Audited Financial Statements.	Circular No. NSDL/PI/98/414 dated September 1, 1998.	September 30th every year.	By hard copy to Participant Interface Department.

'KNOWLEDGE WINS' Contest

As on June 30, 2013, what is the number of client accounts, number of Participants & number of Participant Service Centres in NSDL system?

Send your replies providing your contact details (Contant Name, address & contact no.) with the subject 'Knowledge Wins Contest - July 2013' to info@nsdl.co.in

Lucky 25
Winners will
Win Free
Goodies

Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL.
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these terms or which tampers with the entry process.
- NSDL reserves the right to discontinue the contest at any given point of time without prior intimation.
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

NSDL Offices

Head Office

Mumbai
4th Floor, 'A' Wing,
Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
Tel: (022) 24994200 /
40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad
Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd.,
C. G. Road, Near Panchvati Circle,
Ahmedabad - 380006
Tel: (079) 26461376, Fax: (079) 26461375

Kolkata
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
Acharya Jagdish Chandra Bose Road,
Kolkata - 700020
Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai
6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

New Delhi
409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

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TOPIC OF INTEREST

Know more about Rajiv Gandhi Equity Savings Scheme (RGESS)

(Continued from June 2013 issue)

21. Can I invest more than ₹50,000 and claim tax benefit under RGESS?

Ans. You may invest any amount in a demat account designated under RGESS, but the benefit under the Scheme can be claimed only on investment up to ₹50,000. However, you have the freedom to select the stocks to be kept under lock-in for upto ₹50,000 for claiming benefits under RGESS. It may be noted that the depository would be automatically locking-in all the eligible securities which comes into an RGESS designated demat account during the first financial year upto a value of ₹50000. Hence, ensure that you intimate the depository participant through Form B within one month from the date of transaction, about those investments which you do not want to keep as part of RGESS investment in the first year, such that you have the right to sell / pledge those securities at any time. Once an application is made through Form B, that particular security cannot be brought back under RGESS while claiming for tax benefit in the first year. In subsequent years of flexible lock-in period, if that stock is still an eligible security under RGESS provisions, then the same will be counted towards valuation of RGESS portfolio irrespective of its status as an “eligible security” during the first year.

22. I have purchased shares of Company ‘A’ which is an eligible security under RGESS for ₹70,000; How can I free the investments beyond ₹50,000/-?

Ans. If you have purchased shares under RGESS for ₹70,000/-, the depositories will place shares amounting to only ₹50,000/- under fixed lock-in. Shares amounting ₹20,000 will not be under lock-in. However, if you are selective about the stocks to be kept under lock-in, then intimate the depository as mentioned in Q. No. 21.

23. When should I submit Form B? Is there a time limit?

Ans. You need to submit Form B to the depository participant, if you wish to keep any securities outside RGESS’s terms and conditions. This needs to be submitted within one month from the date of purchase / allotment of that security.

As per the Notification, Depositories are required to submit the report to Income Tax Department regarding RGESS beneficiaries within a period of thirty days from the end of the relevant financial year i.e. by 30th April. Hence, to avoid wrong reporting by Depositories on your investments to Income Tax Department, you are advised to submit declaration in Form B to the depository participants for the credits received during the month of March at the earliest and preferably by April 15th.

to be continued in next issue...